Audit Category		_							
Fundamental Audits - S151 Assurance	Risk	Engagement Type	Original audit plan	Assignment	Days	Audit Scope	National Issue	Report Status 16.08.19	Audit Opinion
				CRSA 2019/20	5				
Condition Brown auto Q Brown and			60	In year testing (mid year 2019/20)	25	B			
Creditor Payments & Processing				In year testing (year end 2019/20)	25	Payments are authorised, accurate and timely			
(including procurement)				To be agreed with Director	5	for goods / services ordered and received.			
			0	Creditors in year testing 2018/19 (carried forward from 2018/19)	0			Final report issued	Effective with opportunity for improvement
	High			CRSA 2019/20	5	_		Completed	No opinion given
				In year testing 2019/20	15	4			
Payroll			65	Payroll overpayments	15	Recruitment processes are transparent and robust, only bona fide, authorised and accurate		Draft report issued	Effective with opportunity for improvement
i				Recruit	15	payments are made, with effective prevention,			
				Establishment reviews	15	detection and recovery of errors.			
			0	Payroll in year testing 2018/19 (carried forward from 2018/19)	0			Draft report issued	Effective with opportunity for improvement
Council Tax			0						
NNDR		Assurance	20	CRSA 2019/20	5	Business Rate collection and control is working			
NINDR			20	In year testing 2018/19 and 2019/20	15	effectively and efficiently.			
Local Housing Allowances			10	Local Housing Allowances In-year Testing (carried forward from 2018/19)	10	Effective controls to ensure accurate processing of bona fide claims.		Draft report issued	Effective with opportunity for improvement
				CRSA 2019/20	4	Effective Treasury Management Strategy,		Completed	No opinion given
Treasury Management	High		10	CNSA 2013/20	4	governance, risk magement and monitoring		Completed	No opinion given
Treasury Management				In year testing 2019/20	6	framework.			
Main Accounting				CRSA 2019/20	5				
			15		10	The main accounting system and processes are well controlled and operating effectively,			
Income and Debtors			0	In year testing 2019/20					
Asset Management			0						
Asset Management									
Housing Rents	Medium		0	Housing Rents (carried forward from 2018/19)	0	Effective control processes are in place for managing and recovering housing rents		Draft report issued	Effective with opportunity for improvement
Total			180		180				
Corporate Governance Assurance - Audit			Original audit plan	Assignment	Days				
				Audit of risk management arrangements	10				
Audit of risk management				Audit of risk management arrangements (carried forward from		Risk Management arrangements are effective, and			Effective with opportunity for
arrangements	High		0	2018/19)	0	operated consistently		Final report issued	
Audit of governance arrangements								i iliai report issueu	improvement
			20	Audit of governance arrangements	20	Effective application of the Council's Code of		i mai report issueu	improvement
			20	Audit of governance arrangements	20	Effective application of the Council's Code of Corporate Governance.		Tillal Tepore issued	improvement
Audit of scrutiny functions	Medium			Audit of governance arrangements Audit of scrutiny functions	20	1 ''		Tillal Tepore issued	improvement
Audit of scrutiny functions	Medium			Audit of scrutiny functions Audit of ethics and values (carried forward from 2018/19) - business		Corporate Governance. Effective scrutiny activities and outcomes		Tillal Tepore issued	improvement
·	Medium		20	Audit of scrutiny functions Audit of ethics and values (carried forward from 2018/19) - business and personal interests	20	Corporate Governance. Effective scrutiny activities and outcomes Policy alignment to organisational values, with		Tillal Tepore issued	
Audit of scrutiny functions Audit of ethics and values	Medium	Assurance	20	Audit of scrutiny functions Audit of ethics and values (carried forward from 2018/19) - business and personal interests Audit of ethics and values (carried forward from 2018/19) - gifts and	20	Corporate Governance. Effective scrutiny activities and outcomes		Draft report issued	Effective with opportunity for
Audit of ethics and values Audit of Wellbeing of Future		Assurance	20	Audit of scrutiny functions Audit of ethics and values (carried forward from 2018/19) - business and personal interests Audit of ethics and values (carried forward from 2018/19) - gifts and hospitality	20 0 0	Corporate Governance. Effective scrutiny activities and outcomes Policy alignment to organisational values, with appropriate disclosures made. Effective application of Wellbeing of Future	V		
Audit of ethics and values		Assurance	20 0 15	Audit of scrutiny functions Audit of ethics and values (carried forward from 2018/19) - business and personal interests Audit of ethics and values (carried forward from 2018/19) - gifts and hospitality Wellbeing of Future Generations	20	Corporate Governance. Effective scrutiny activities and outcomes Policy alignment to organisational values, with appropriate disclosures made. Effective application of Wellbeing of Future Generations requirements.	у		Effective with opportunity for
Audit of ethics and values Audit of Wellbeing of Future Generations		Assurance	20 0 15	Audit of scrutiny functions Audit of ethics and values (carried forward from 2018/19) - business and personal interests Audit of ethics and values (carried forward from 2018/19) - gifts and hospitality	20 0 0	Corporate Governance. Effective scrutiny activities and outcomes Policy alignment to organisational values, with appropriate disclosures made. Effective application of Wellbeing of Future	У		Effective with opportunity for improvement
Audit of ethics and values Audit of Wellbeing of Future Generations Audit of performance management		Assurance	20 0 15 20	Audit of scrutiny functions Audit of ethics and values (carried forward from 2018/19) - business and personal interests Audit of ethics and values (carried forward from 2018/19) - gifts and hospitality Wellbeing of Future Generations	20 0 0 15	Corporate Governance. Effective scrutiny activities and outcomes Policy alignment to organisational values, with appropriate disclosures made. Effective application of Wellbeing of Future Generations requirements. Performance management arrangements are	У		Effective with opportunity for
Audit of ethics and values Audit of Wellbeing of Future Generations Audit of performance management Audit of Health and Safety		Assurance	20 0 15 20	Audit of scrutiny functions Audit of ethics and values (carried forward from 2018/19) - business and personal interests Audit of ethics and values (carried forward from 2018/19) - gifts and hospitality Wellbeing of Future Generations Audit of performance management	20 0 0 15 20 0	Corporate Governance. Effective scrutiny activities and outcomes Policy alignment to organisational values, with appropriate disclosures made. Effective application of Wellbeing of Future Generations requirements. Performance management arrangements are effective, and operated consistently. Effective application of the Council's Health and	у	Draft report issued	Effective with opportunity for improvement Effective with opportunity for
Audit of ethics and values Audit of Wellbeing of Future Generations Audit of performance management Audit of Health and Safety	High	Assurance	20 0 15 20	Audit of scrutiny functions Audit of ethics and values (carried forward from 2018/19) - business and personal interests Audit of ethics and values (carried forward from 2018/19) - gifts and hospitality Wellbeing of Future Generations Audit of performance management Audit of health and safety framework (carried forward from 2018/19) Audit of Information Governance	20 0 0 15 20 0	Corporate Governance. Effective scrutiny activities and outcomes Policy alignment to organisational values, with appropriate disclosures made. Effective application of Wellbeing of Future Generations requirements. Performance management arrangements are effective, and operated consistently. Effective application of the Council's Health and Safety Framework. Effective mechanisms and systems for the	у	Draft report issued	Effective with opportunity for improvement Effective with opportunity for
Audit of ethics and values Audit of Wellbeing of Future Generations Audit of performance management Audit of Health and Safety Audit of Information governance		Assurance	20 0 15 20 0 15	Audit of scrutiny functions Audit of ethics and values (carried forward from 2018/19) - business and personal interests Audit of ethics and values (carried forward from 2018/19) - gifts and hospitality Wellbeing of Future Generations Audit of performance management Audit of health and safety framework (carried forward from 2018/19)	20 0 0 15 20 0	Corporate Governance. Effective scrutiny activities and outcomes Policy alignment to organisational values, with appropriate disclosures made. Effective application of Wellbeing of Future Generations requirements. Performance management arrangements are effective, and operated consistently. Effective application of the Council's Health and Safety Framework. Effective mechanisms and systems for the requirement of the Data Protection Act 2018.	у	Draft report issued	Effective with opportunity for improvement Effective with opportunity for
Audit of ethics and values Audit of Wellbeing of Future Generations Audit of performance management Audit of Health and Safety Audit of Information governance	High	Assurance	20 0 15 20 0	Audit of scrutiny functions Audit of ethics and values (carried forward from 2018/19) - business and personal interests Audit of ethics and values (carried forward from 2018/19) - gifts and hospitality Wellbeing of Future Generations Audit of performance management Audit of health and safety framework (carried forward from 2018/19) Audit of Information Governance	20 0 0 15 20 0	Corporate Governance. Effective scrutiny activities and outcomes Policy alignment to organisational values, with appropriate disclosures made. Effective application of Wellbeing of Future Generations requirements. Performance management arrangements are effective, and operated consistently. Effective application of the Council's Health and Safety Framework. Effective mechanisms and systems for the	у	Draft report issued	Effective with opportunity for improvement Effective with opportunity for

Programme and projects (including CADT)			0						
Total			50		50				
Other Assurance			Original audit plan	Assignment	Days				
			40	Cradle to Grave review - Building Maintenance Framework	20	Effective contract compliance, control and delivery			
ontract Audit				Cradle to Grave review	20	of objectives			
			0	Atebion Solutions (carried forward from 2018/19)	0	Effective performance management and charging mechanism		Final report issued	Effective with opportun improvement
rdiff Bus - governance			10	Cardiff Bus - governance	10	Section 151 Assurance on governance arrangements			·
ucation - SOP			20	Review of Band B	20	Delivery of service objectives with effective compliance and control.	у		
				Identification and access management	20	Effective access management controls			
				IT inventory and asset management	20	Effective IT inventory and asset management controls			
T Audit			50	Change and patch management controls (to be confirmed)	10	Effective co-ordination and control.			
				IT Governance (Carried forward from 2018/19)	0	Effective co-ordination and control.		Final report issued	Effective with opportun improvement
		Assurance		Cloud Computing (Carried forward from 2018/19)	0	Effective co-ordination and control.		Final report issued	Effective with opportun
				VFM Theme - TBC	15	ТВС		\vdash	improvement
	Medium		30	VFM in Digital Initiatives	15	Assurance on value for money in digital initiatives			
				VFM - enforcement (carried forward from 2018/19)	0	Value in use of enforcement practices		Draft report issued	Effective with opportur
				VFM - review of overtime (carried forward from 2018/19)	0	Value in use of overtime		Draft report issued	Effective with opportur improvement
alue for Money studies				VFM - agency workers, interims and consultants (carried forward from 2018/19)	0	Value in use of temporary workforce arrangements		Final report issued	Effective with opportur improvement
			0	VFM - sickness absence review (carried forward from 2018/19)	0	Effective sickness management policy and practices		Final report issued	Effective with opportur
				VFM - workforce deployment (carried forward from 2018/19)	0	Value in the deployment of the workforce			p.ovenient
				VFM - personal review process (carried forward from 2018/19)	0	Effective personal review application and management.		Final report issued	Effective with opportun
exation (incl. VAT)			19	Taxation	19	Effective compliance and control.			
stem Development		TBC	10	System Development	10	Consultation or assurance services, as relevant			
ational Fraud Initiative			20	National Fraud Initiative	20	Data matching counter-fraud exercise.			
ensions and Investments			15	CRSA 2019/20	5				
surance			0	In year testing 2019/20 In-year testing 2018/19 (carried forward from 2018/19)	0	Effective compliance and control.		Draft report issued	Effective with opportur
ealth and safety				in year testing 2010/15 (carried to that a norm 2010/15)				Brait report issued	improvement
lileage & subsistence			0						
eet Management (pool cars, grey et, etc.)	Medium	Assurance	20	Fleet Management (pool cars, grey fleet, etc.)	20	Delivery of service objectives with effective compliance and control.			
ocurement			0	Review of CTS stores	10	Effective and efficient stores management, and			
ores	Medium		16	Year end stock check 1	3	stock control			
	22.3			Year end stock check 2	3	Disciplined and controlled stock check processes for valuation and accountability			
isiness Continuity			0						
Total			250		250				
Service specific audits			Original audit plan	Assignment	Days				
				Health and Safety	15	Directorate health and safety compliance and risk management.			
				Commissioning and Procurement	15	Commissioning and Procurement compliance and control			
	High			Asset management	15	Effective Directorate recording, monitoring, management and control of physical assets			

				Income and Debtors	15	Operation of appropriate arrangements to record, monitor and recover sundry debts.			
			170	Bulky waste collection (domestic properties)	15	Accurate charging and controlled operation of the service		Final report issued	Effective with opportunity for improvement
				Commercial waste	10	Delivery of service objectives with effective			proveee
				Recycling in HWRCs	20	compliance and control.			
				Stock systems (Tranman)	20	Effective and efficient stock management and			
lanning, Transportation and		Assurance		Stock systems (Vectec)	10	control			
Environment				Purchasing cards (CTS)	10	control		Final report issued	Insufficient with major
				D 1111 C		Delivery of service objectives with effective		· · · · · · · · · · · · · · · · · · ·	improvement needed
				Building Control	10	compliance and control.			
				Fly Tipping	15	」 `			
	Medium			Trolleys	15				
				FOLLOW UP - Lamby Way Stores	0	Follow up Audit			
				FOLLOW UP - Review of contracts in Waste Management	0	Follow up Audit			
				Stock take observation - Lamby Way (carried forward from 2018/19)	0	Disciplined and controlled stock check processes for valuation and accountability		Final report issued	Effective with opportunity for improvement
			0	Review of Gatehouse	0	Effective system and access control		Final report issued	Insufficient with major improvement needed
			-	Review of contracts in Waste Management	0	Delivery of service objectives with effective compliance and control.		Final report issued	Unsatisfactory
		Consultation		Weighbridge - monitoring and review	0	Consultation and guidance on effective compliance and control.			
				Health and Safety	15	Directorate health and safety compliance and risk			
				·		management.			
	High			Commissioning and Procurement	15	Commissioning and Procurement compliance and control			
				Asset management	15	Effective Directorate recording, monitoring, management and control of physical assets			
				Income and Debtors	15	Operation of appropriate arrangements to record, monitor and recover sundry debts.			
		Assurance	150	Independent Living (deferred from 2018/19)	10	Delivery of service objectives with effective			
				Lettings policy in high rise accommodation (deferred from 2018/19)	10	compliance and control.			
Housing and Communities				Into work grant streams	10		У	Draft report issued	Effective with opportunity for improvement
				Responsive Repairs	20	Delivery of service objectives with effective compliance and control.		Final report issued	Effective with opportunity for improvement
	Medium			Flexibilities funding (Housing)	10		У		
				"Get me home" service	15	7			
				Review of stores - Joint Equipment Stores	12	Effective and efficient stores management, and stock control			
				Bilingual Cardiff (carried forward from 2018/19)	0	Welsh Language Standards compliance and control	У	Draft report issued	Effective with opportunity for improvement
			0		0		٧	Draft report issued	Effective with opportunity for
			-	Regional Partnership Board (carried forward from 2018/19)	-	Effective governance and conrtrol in partnerships /			improvement
				Partnerships and collaborative governance (carried forward from	0	collaborations		Final report issued	Effective with opportunity for
	High	G		2018/19)		Consultancy on controls in the proposed Northgate		Tillal Teport Issueu	improvement
		Consultation		RBV Module	3	Module Directorate health and safety compliance and risk			
				Health and Safety	15	management.			
				Commissioning and Procurement	15	Commissioning and Procurement compliance and control			
	High			Asset management	15	Effective Directorate recording, monitoring, management and control of physical assets			
			150	Income and Debtors	15	Operation of appropriate arrangements to record, monitor and recover sundry debts.			
				Income collection (fees and charges) (deferred from 2018/19)	15				
Economic Development		Assurance		Film Unit	15	Delivery of service objectives with effective			
				Strategic Estates - processes	10	compliance and control.		1	
I		ı		5	10	ا			

Modern	1	I	1		Channel View	15	7		
Major Notice 12									
Note the temperature is smoothy found (correct forward from 1)		Medium			Major Project governance	20	Operation of appropriate arrangements for Major		
Action and 15 files of investigation of the companion o				0		0	Disciplined and controlled stock check processes for	Final report issued	
Anvance Page 1 Anvance Page 1 Anvance Page 1 Anvance Page 1 Anvance Page 2 Anvanc					County Hall canteen (carried forward from 2018/19)	0	Effective compliance and control.	Final report issued	-
Assumance declaration and disclosure and frozontal methods from the disclosure and disclosure an					Health and Safety	15			·
Modure Modure					Commissioning and Procurement	15			
Anturance Medium Modium Modium Consistance of the final and process of the final and the		High			Asset management	15			
Assirance					Income and Debtors	15			
Assurance					ICT governance - in year testing for schools	40			
Assurance					Counterfraud in schools - CRSA development	10		Completed	No opinion given
Assurance					Counterfraud in schools: in year testing in primary schools x 4	40	transactional, procurement and recruitment		
School 3 St. Flance & Primary School School 3 St. Blay St. High School School School 3 St. Blay St. High School School School 3 St. Blay St. H			Assurance			40	activities		
School 2-Windoor Clive Primary School School 3-Stimul 3 School 4 School 3 School 4 School 3 School 4 School 3 School 5 School 5 School 5 School 5 School 5 School 5 School 6 Income collection in schools (incl. lettings, banking) 25 Effective compliance and control in school income collection. For your Arms 10 Review of systems of governance and internal control procurements of special pr				300		4			
School 2-5. Linky RS (Fligh School 2 School 3-5. Linky RS (Fligh School 3 School					SCHOOL 1 - St. Francis RC Printary School	+			Insufficient with major
School 3 School 3 School 5 School 5 School 5 School 5 School 5 School 5 School 6 Procedures in schools (incl. lettings, banking) 25 effective compliance and control in school income collection in schools (incl. lettings, banking) 25 collection. Medium					School 2 -Windsor Clive Primary School	40	Full audits of systems of governance and internal	Draft report issued	-
School 5 School 6 Income collection in schools Contract procedures in schools Medium] 40	control within individual schools		·
School 6 Income collection is schools (incl. lettings, banking) Assurance A									
Income collection in schools (incl. lettings, banking) Contract procedures in schools 25 Effective compliance and control in school income collection.						4			
Income collection is schools 25 Collection. Contract procedures in schools 25 Effective compliance and control in school procurements Storey Arms 10 Control more of the processor of sovernance and internal control Control more of the processor of sovernance and internal control Control more of the processor of the processor of documented guidance and support to schools Control more of the processor of the processor of documented guidance and support to schools Control more of the processor of the process						-	Effective compliance and control in school income		
Consultation Storey Arms 10 Review of systems of governance and internal control Final report issued Effective with opportunity for improvement Final report issued Effective with opportunity for improvement Final report issued Final report issu	Education and Lifelong Learning				Income collection in schools (incl. lettings, banking)	25	collection.		
Medium Medium Storey Arms 10					Contract procedures in schools	25	procurements		Title aking wikh a nagarkuniku fa n
FOLLOW UP - Potrprenau Primary School 0 follow up Audit Or FOLLOW UP - Music Service income 0 follow up Audit 1 The provision of documented guidance and support to schools up Audit 1 The provision of documented guidance and support to schools up Audit 1 The provision of documented guidance and support to schools 1 The provision of documented guidance and support to schools 1 The provision of documented guidance and support to schools 1 The provision of documented guidance and support to schools 1 The provision of documented guidance and support to schools 2 The provision of documented guidance and support to schools 2 The provision of documented guidance and support to schools 2 The provision of documented guidance and support to schools 2 The provision of documented guidance and support to schools 2 The provision of documented guidance and support to schools 2 The provision of documented guidance and support to schools 2 The provision of documented guidance and support to schools 2 The provision of documented guidance and support to schools 2 The provision of documented guidance and support to schools 2 The provision of documented guidance and support to schools 2 The provision of documented guidance and support to schools 2 The provision of documented guidance and support to schools 2 The provision of documented guidance and support 2 The provision of the complete 3 The provision of documented guidance and support 2 The provision of the complete 3 The provision of the provision of th					•		control	Final report issued	
Consultation School guidance on contract procedures O Follow up Audit		Medium							
Consultation School guidance on contract procedures Roath Park (carried forward from 2018/19) Commissioning of independent investigations (carried over from 2018/19) Ombiting of independent investigations Commissioning of independent investigations (carried over from 2018/19) Youth Innovation Grant (carried forward from 2018/19) Assurance Omissioning of independent investigations (carried over from 2018/19) Assurance Omissioning of independent investigations (carried over from 2018/19) Assurance Omissioning of independent investigations (carried over from 2018/19) Omitting of independent investigations Office the commissioning and monitoring of independent investigations Omitting of independent investigations Office the commissioning and monitoring and moni									
Roath Park (carried forward from 2018/19) O Effective control in the commissioning and monitoring of independent investigations Youth Innovation Grant (carried forward from 2018/19) Assurance O Effective governance, decision making and performance management Compliance and control in Mustic Service expenditure Compliance and control in income processes St. Peter's (carried forward from 2018/19) Willows (carried forward from 2018/19) Whitchurch High School (carried forward from 2018/19) Music Service - follow up (carried forward from 2018/19) O Follow up Audit O Follow up Audit Draft report issued Effective with opportunity for improvement Final report issued Final report iss			0 11 11			-			
Assurance			Consultation			-		Completed	No opinion given
Assurance Assurance O Assurance Assurance O Assurance O Assurance Assurance Assurance O Assurance Assu						0	control.		
Assurance						10	monitoring of independent investigations	Draft report issued	improvement
Assurance Assurance Assurance Assurance Assurance Income processes (carried forward from 2018/19) 0					Youth Innovation Grant (carried forward from 2018/19)	0	peformance management	Final report issued	improvement
St. Peter's (carried forward from 2018/19) Willows (carried forward from 2018/19) Vagol Glantaf (carried forward from 2018/19) Whitchurch High School (carried forward from 2018/19) Music Service - follow up (carried forward from 2018/19) O Compliance and control in income processes Final report issued Insufficient with major improvement needed Insufficient with major improvement needed Music Service - follow up (carried forward from 2018/19) O Follow up Audit Final report issued Final report issued Final report issued Final report issued Insufficient with major improvement needed Improvement needed Unsatisfactory Unsatisfactory			Accuracy		Music Service - expenditure (carried forward from 2018/19)	0		·	-
St. Peter's (carried forward from 2018/19) Willows (carried forward from 2018/19) O Full audit of systems of governance and internal control. Full audit of systems of governance and internal control. Final report issued Insufficient with major improvement needed Insufficient with major improvement needed Insufficient with major improvement needed Music Service - follow up (carried forward from 2018/19) O Follow up Audit Final report issued Final report issued Final report issued Insufficient with major improvement needed Insufficient with major improvement needed Final report issued Insufficient with major improvement needed Insufficient with major improvement needed Final report issued Unsatisfactory			Assurance	U	Income processes (carried forward from 2018/19)	0	Compliance and control in income processes		No opinion given
Willows (carried forward from 2018/19) O Full audit of systems of governance and internal control. Full audit of systems of governance and internal control. Final report issued Insufficient with opportunity for improvement Insufficient with major improvement needed Music Service - follow up (carried forward from 2018/19) O Follow up Audit Final report issued Final report issued Unsatisfactory					St. Peter's (carried forward from 2018/19)	0			Unsatisfactory
Ysgol Glantaf (carried forward from 2018/19) Whitchurch High School (carried forward from 2018/19) Whitchurch Final report issued Whitchurch Final report issued Final report issued Final report issued Final report issued Insufficient with major improvement needed Insufficient with major improvement needed Final report issued Unsatisfactory					Willows (carried forward from 2018/19)	0	Full qudit of systems of gavernance and internal	Final report issued	
Whitchurch High School (carried forward from 2018/19) Whitchurch High School (carried forward from 2018/19) Use Final report issued improvement needed Music Service - follow up (carried forward from 2018/19) O Follow up Audit Final report issued Unsatisfactory					Ysgol Glantaf (carried forward from 2018/19)	0		Final report issued	improvement needed
						0		Final report issued	
Directorate health and refer to any linear and viels		ļ			Music Service - follow up (carried forward from 2018/19)	0	·	Final report issued	Unsatisfactory
Health and Safety 10 Directorate health and safety compliance and risk management.					Health and Safety	10	Directorate health and safety compliance and risk management.		

	ı		i			To			
				Commissioning and Procurement	10	Commissioning and Procurement compliance and control			
	re-t-								
Governance and Legal Services	High	Assurance	50	Income and Debtors	10	Operation of appropriate arrangements to record, monitor and recover sundry debts.			
						The interior and receiver sunary design			
				Asset management	10	Effective Directorate recording, monitoring,			
]				management and control of physical assets			
	Medium			To be agreed with Director	10	TBC.			
				Health and Safety	10	Directorate health and safety compliance and risk management.			
				Commission and Bossesson	40	Commissioning and Procurement compliance and			
				Commissioning and Procurement	10	control			
	High		50	Income and Debtors	10	Operation of appropriate arrangements to record,			
Resources		Assurance	30	income and Debtors	10	monitor and recover sundry debts.			
				Asset management	10	Effective Directorate recording, monitoring,			
	TBC	+		To be agreed with Director	10	management and control of physical assets TBC.			
	High	1	0		0	Effective financial planning, budgeting and		Draft report issued	Effective
	Tilgii		Ů	Budgeting and forecasting (carried forward from 2018/19)	0	monitoring		Diait report issued	Lifective
				Health and Safety	15	Directorate health and safety compliance and risk management.			
					45	Commissioning and Procurement compliance and			
				Commissioning and Procurement	15	control			
				Unacoma and Dalatara	15	Operation of appropriate arrangements to record,			1
	High			Income and Debtors	15	monitor and recover sundry debts.			1
				Asset management	15	Effective Directorate recording, monitoring,			
				Safeguarding (follow up review)	15	management and control of physical assets Follow up Audit			
						Effective control, management and monitoring of			
Social Services		Assurance	300	Direct Payments	20	Direct Payments			
				ICF schemes (incl. Families First) Early help service (impact of FPOC)	15 15	-			<u> </u>
				Children's Placements		Delivery of service objectives with effective			
				Learning Disabilities	15	compliance and control.			
				Mental Health Day Services (CRT)	15]			
	N. d. a. alderson			Foster carers (incl. safeguarding)	15	TDC			
	Medium			To be agreed with Director Deprivation of Liberty Safeguards	5 20	TBC.			
				Sensory Services	15	1			
				Payments to Care Leavers	20	Delivery of service objectives with effective			
				Child Health and Disabilities	20	compliance and control.			
				Home Care (mobile scheduling)	15]			
			0	Domiciliary Care (carried forward from 2018/19)	0				
Total			1,170		1,170				
External			Original audit plan	Assignment	Days				
	Medium		γιατι	City Deal 2019/20	5	Scope as per rolling SLA	У		
		1		Cardiff Further Education Trust Fund	2		,		
				Norwegian Church Preservation Trust	2]		Final report issued	Effective with opportunity for
			20	Joint Committees	6	-		Closed	improvement No opinions given
			20	Rumourless Cities European Grant	8	1		Closed	No opinions given
External clients		Assurance		Provision for other work	5]			
					10				
	Low			St. Peter's Out of School Club and Governors' Fund (unplanned) Cardiff Further Education Trust Fund 2017/18 (carried forward from		Grant certification / statement of accounts work			<u> </u>
				2018/19)	0			Closed	No opinion given
			0		0	1		Closed	No opinion given
			40	Norwegian Church Preservation Trust (carried forward from 2018/19)		<u> </u>		Closed	140 Opinion Biven
Grants		Assurance		Provision for grant work Education Improvement Grant 2018/19 (carried forward from	10	-l		\vdash	Effective with opportunity for
			0	2018/19)	0			Final report issued	improvement
Total			30		48				
					·		_	_	

Contingencies			Original audit plan	Assignment	Days		
General Audit			30	General Audit (provision)	12	TBC.	
Total			30		12		
Management			Original audit plan	Assignment	Days		
Corporate work – Audit Committee, WAO etc.			50	Corporate work – Audit Committee, WAO etc.	50		
Assurance mapping			10	Assurance mapping	10		
CRSA development			0	CRSA development	0		
Process development			20	Process development	20	Internal Audit management, planning, guidance and	
Work for Audit Manager	Medium	Management	30	Work for Audit Manager	30	support activities.	
Planning, monitoring & reporting			30	Planning, monitoring & reporting	30	Support activities.	
Review of financial rules etc.			20	Review of financial rules etc.	20]	
General advice and guidance			20	General advice and guidance	20		
Total			180		180		

Total chargeable days 1,990